

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham Ronald EASTHAM *et al.*

Appln. No. 10/536,801

Confirmation No. 4528

Filed: May 27, 2005

For: CARBONYLATION OF VINYL ESTER
(As Amended)

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-218465

Customer No.

26694

PATENT TRADEMARK OFFICE

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: MAIL STOP - MISSING REQUIREMENTS

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), dated November 17, 2005, submitted herewith are the following:

1. Transmittal;
2. Fee Transmittal;
3. English-Language Declaration (3 pages);
4. Power of Attorney and Correspondence Address Indication Form (3 pages);
5. Assignment and Recordation Form (6 pages total);
6. A Second Preliminary Amendment;

Rec'd PCT/US 09 DEC 2005

Application No. 10/536,801
Applicants: Graham R. EASTHAM et al.

7. A Copy of the Notification of Missing Requirements dated November 17, 2005;
8. The \$130 surcharge for the late filing of the Declaration; and
9. The \$40.00 recordation fee for the Assignment.

Please charge the fees, totaling \$170.00, to our Deposit Account No. 22-0261.

Respectfully submitted,

Date: December 9, 2005

Kavita B. Lepping

Kavita B. Lepping
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MAS/SJB
::ODMA\PCDOCS\DC2DOCS\1\701868\1701868v1

12/13/2005 GFREY1 00000160 220261 10536801

01 FC:1617 130.00 DA

Adjustment date: 03/06/2006 VWALLACE
12/13/2005 GFREY1 00000160 220261 10536801
01 FC:1617 130.00 DA

Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/536,801
Filing Date	May 27, 2005
First Named Inventor	Graham Ronald EASTHAM et al.
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Total Number of Pages in This Submission	Attorney Docket Number 31229-218465

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input checked="" type="checkbox"/> English-Language Declaration (3 pp.) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO/SB/08A <input type="checkbox"/> Claim for Priority; Certified Copy of Priority Document <input checked="" type="checkbox"/> Preliminary Amendment <input checked="" type="checkbox"/> Copy of Response to Notification of Missing Requirements	<input checked="" type="checkbox"/> Assignment & Recordation Sheet (6 pages) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney and Correspondence Address Indication Form (3 pp.) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="padding-left: 20px;">Response to Notification of Missing Parts</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kavita B. Lepping Reg. No. 54,262	26694 U.S. PATENT TRADEMARK OFFICE
Signature	<i>Kavita B. Lepping</i>	
Date	December 9, 2005	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on this date:

Typed or printed name Signature Date

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** **\$ 170.00**

Complete if Known

Application Number	10/536,801
Filing Date	May 27, 2005
First Named Inventor	Graham Ronald EASTHAM et al.
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	31229-218465

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify) _____
 Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
	- 20 = 0	x 0	= 0	Fee (\$)
				Fee Paid (\$)

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
	- 3 = 0	x 0	= 0	Fee (\$)
				Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 = 0	/50 (round up to a whole number) x 0	= 0	= 0

4. OTHER FEE(S)

Other (e.g., late filing surcharge):

- Surcharge for late filing of the Declaration \$ 130.00
- Assignment Recordation Fee \$ 40.00

SUBMITTED BY

Signature	<i>Kavita B. Lepping</i>	Registration No. (Attorney/Agent)	54,262	Telephone	(202) 344-4000
Name (Print/Type)	Kavita B. Lepping		Date	December 9, 2005	

MAS



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/536,801	Graham Ronald Eastham	31229-218465
INTERNATIONAL APPLICATION NO.		
PCT/GB03/04679		
I.A. FILING DATE		PRIORITY DATE
10/31/2003		11/30/2002
CONFIRMATION NO. 4528		
371 FORMALITIES LETTER		
 <small>*OC000000017436593*</small>		

26694
 VENABLE LLP
 P.O. BOX 34385
 WASHINGTON, DC 20045-9998

RECEIVED
 NOV 22 2005
 VENABLE LLP

Date Mailed: 11/17/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/27/2005
- Copy of the International Search Report filed on 05/27/2005
- Copy of IPE Report filed on 05/27/2005
- Copy of Annexes to the IPER filed on 05/27/2005
- Preliminary Amendments filed on 05/27/2005
- Information Disclosure Statements filed on 05/27/2005
- U.S. Basic National Fees filed on 05/27/2005
- Priority Documents filed on 05/27/2005

31229- DOCKETED

CLIENT/MATTER #218465 ATTY m.25
 DUE DATE Jan. 17, 2006
 FINAL DEADLINE June 17, 2006
 DKTED BY m.25

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/536,801	PCT/GB03/04679	31229-218465

FORM PCT/DO/EO/905 (371 Formalities Notice)